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PTO/SB/21 (08-00)

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/886,919
		Filing Date	June 21, 2001
		First Named Inventor	Munoz, Rodrigo
		Group Art Unit	
		Examiner Name	
Total Number of Pages in This Submission	15	Attorney Docket Number	G03.011

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): A check for \$1192.00
Remarks		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Kurt M. Maschoff
Signature	
Date	August 30, 2001

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: August 30, 2001

Type or printed name	Jill Holme	Date	August 30, 2001
Signature			

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Munoz et al.

Application No.: 09/886,919

Filed: 6/21/2001

Title: METHOD AND APPARATUS FOR  
EVALUATING AN APPLICATION  
FOR A FINANCIAL PRODUCT

Attorney Docket No.: G03.011

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Attention: Box Missing Parts

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(f). Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the above-identified application.

(X) Executed Declaration and Power of Attorney. The above-identified application is the same application which the inventor executed by signing the enclosed declaration.

(X) Statutory basic filing fee (X) Utility \$710.00 ( ) Design

(X) Additional claim fees of \$312.00

(X) Missing Parts Surcharge = \$130.00

( ) A Petition for Extension of Time for reply to Notice of Missing Parts is attached.

( ) one month = \$110.00

( ) two months = \$390.00

( ) three months = \$890.00

( ) four months = \$1,390.00

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: August 30, 2001,

Typed Name: Jill Holme

Signature: Jill Holme

Respectfully submitted,

By

Kurt M. Maschoff

Reg. No. 38,235

(203) 972-0081 / Phone

Date: August 30, 2001

A3



**UNITED STATES PATENT AND TRADEMARK OFFICE**

COMMISSIONER FOR PATENTS  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/886,919	06/21/2001	Rodrigo Munoz	G03.011



**CONFIRMATION NO. 6655**  
**FORMALITIES LETTER**



\*OC000000006415667\*

Date Mailed: 08/13/2001

Buckley, Maschoff, Talwalkar, & Allison LLC  
111 Elm Street  
New Canaan, CT 06840

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

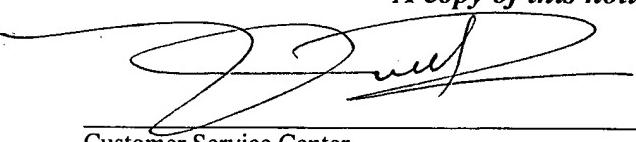
**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$312.
  - \$72 for 4 total claims over 20.
  - \$240 for 3 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1152.**

*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

09/06/2001 MAHMED1 00000021 09886919

01 FC:101	710.00 OP
02 FC:102	240.00 OP
03 FC:103	72.00 OP
04 FC:105	130.00 OP